

MS#306877.01(5095)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Joshua Goodman
Serial No. FILED HERewith
Filed FILED HERewith
For SYSTEM AND METHOD FOR COMPLYING WITH ANTI-SPAM RULES, LAWS,
AND REGULATIONS

February 9, 2004

COMMISSIONER FOR PATENTS
P.O. BOX 1450
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SIR:

INFORMATION DISCLOSURE STATEMENT

* In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the
duty of disclosure set forth in 37 C.F.R. 1.56, applicant submits the attached PTO/SB/08A for
consideration by the Patent and Trademark Office in the above-entitled application and to be
made of record therein. In accordance with the Pre-OG notice of July 11, 2003 partially waiving
the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied.
Applicant submits herewith copies of the literature references.

Respectfully submitted,



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PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	filed herewith
				Filing Date	filed herewith
				Confirmation Number	not yet assigned
				First Named Inventor	Joshua Goodman
				Group Art Unit	not yet assigned
				Examiner Name	not yet assigned
Sheet	1	of	1	Attorney Docket No.	MS#30677.01(5095)

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	
		Number	Kind Code ² (if known)			
	1	6,266,692	B1	Greenstein	07-24-2001	
	2	2003/0028441	A1	Barsness et al.	02-06-2003	
	3	2003/0126300	A1	Pickover et al.	07-03-2003	
OTHER ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ⁶
	4	ALLMAN, Eric, "The FTC, and Spam", <i>Queue</i> , September 2003, Pages 63-69, Volume 1, Issue 6				
	5	GOPAL, Ram D. et al., "Admediation: New Horizons in Effective Email Advertising", <i>Communications of the ACM</i> , December 2001, Pages 91-96, Volume 44, Number 12				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

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